

1                   **KEY DISTRIBUTION IN A CONDITIONAL ACCESS SYSTEM**

2    **FIELD OF INVENTION**

3           The present invention relates to a conditional access system, more particularly to a  
4   key distribution method and apparatus in a conditional access (CA) system.

5    **BACKGROUND ART**

6           A CA system is vital to a cable/satellite pay-TV broadcaster, and the most important  
7   part in a CA system is how to add legal users (paid users) into the system and remove  
8   illegal users from the system dynamically. The basic architecture of a CA system is EMM  
9   (entitlement management message), ECM (entitlement control message), CW (control  
10   word), Stream. As shown in Figure 1, an operator (transmitting side) broadcasts EMM  
11   and ECM to each legal user through network broadcasting, the EMM contains a message  
12   to be transferred to each user (receiving side) and this message contains an entitlement  
13   key (i.e. media key, MK) needed by the user. Each user's device filters the EMM when  
14   receiving it, and upon obtaining the message to be transferred to the user in the EMM, the  
15   message is decrypted by using a user key (distributed to the user by way of smart card or  
16   other means) obtained in advance from the operator so as to obtain the entitlement key  
17   therein, then the entitlement key is used to decrypt ECM to obtain CW that is used to  
18   encrypt video streams (e.g. MPEG-2). Thus, legal users can watch an encrypted video  
19   program by using the entitlement key dynamically distributed to them by the operator,  
20   while unpaid users (illegal users) can not watch the encrypted video program due to not  
21   obtaining the entitlement key.

22           In this CA system, EMMs play an important role for distributing the entitlement  
23   key. But unfortunately, the length of EMM is quite long in most CA systems. Generally,

1 the length is proportional to the number of users within the CA system, which may grow  
2 tremendously in a large system. Because of its length, more bandwidth may be taken to  
3 broadcast EMMs, and sometimes, users have to turn on their set-top boxes in order to  
4 receive EMMs. Since TS stream of MPEG-2 allows to combine many code streams  
5 together, EMM and ECM are transferred together with video streams instead of being  
6 transferred through a single channel. Also, EMM varies once a month while ECM varies  
7 once per ten seconds, so the bandwidth occupied when they are transmitted can severely  
8 influence the receiving and viewing of video programs. This situation causes some  
9 services like PPV (Pay Per View), IPPV (Impulsive Pay Per View) and Near-VOD quite  
10 inconvenient. For example, in a traditional CA system with 10,000 users, if 1% users  
11 (100 users) want to leave the system, the system has to send to each user among the left  
12 9,900 users an EMM containing information of each user, notifying them to change their  
13 group and the original entitlement keys they own. Thus it will occupy a large amount of  
14 bandwidth to broadcast these notifications, thereby wasting a lot of resources.

## 15 **SUMMARY OF INVENTION**

16 In order to solve the above-mentioned problems, the object of the present invention  
17 is to provide a key distribution method and apparatus in a conditional access system. The  
18 method is dividing legal users into various groups according to a certain condition, and  
19 distributing identical user keys to the users within the same group, therefore a plurality of  
20 users can use the identical user keys to obtain the entitlement keys.

21 In order to achieve the above object, the present invention provides a key  
22 distribution method in a conditional access system, assuming that the set of all user nodes  
23 which the system can accommodate is a complete set, and a subset is composed of all or  
24 part of the user nodes, comprising the steps of: decomposing said subset into at least one  
25 secondary subset; distributing a different user key to each secondary subset, each said

1 user key being transmitted to all users in a corresponding secondary subset; encrypting an  
2 entitlement key by using each said user key so as to generate a cipher text corresponding  
3 to each said secondary subset; and combining said cipher text to generate a media key  
4 control block.

5 The present invention also provides an apparatus for key distribution in a  
6 conditional access system, assuming that the set of all user nodes which the system can  
7 accommodate is a complete set, and a subset is composed of all or part of the user nodes,  
8 said apparatus comprising: a decomposing unit for decomposing said subset into at least  
9 one secondary subset, and distributing a different user key to each secondary subset, each  
10 said user key being transmitted to all users in a corresponding secondary subset; a  
11 generating unit for encrypting an entitlement key by using each said user key so as to  
12 generate each cipher text corresponding to each said secondary subset; a combining unit  
13 for combining said cipher text to generate a media key control block; and an entitlement  
14 control means for controlling the corresponding operation of each said unit and outputting  
15 said media key control block.

## 16 **BRIEF DESCRIPTION OF DRAWINGS**

17 The above and other objects and advantages of the present invention will become  
18 more apparent by further describing the present invention with reference to the  
19 embodiments and accompanying drawings, in which:

20 Fig. 1 is a schematic diagram of the transmitting side and receiving side in a  
21 conventional conditional access system;

22 Fig. 2 shows the structure of a key distribution apparatus used in a conditional  
23 access system according to the present invention;

1        Fig. 3 is a flowchart of a key distribution used in a conditional access system  
2 according to the present invention;

3        Fig. 4 is a structural diagram of a video program transmitting apparatus used in a  
4 conditional access system according to an embodiment of the present invention;

5        Fig. 5 is a structural diagram of a video program receiving apparatus used in a  
6 conditional access system according to an embodiment of the present invention;

7        Fig. 6 is a structural diagram of a video program transmitting apparatus used in a  
8 conditional access system according to another embodiment of the present invention;

9        Fig. 7 is a structural diagram of a video program receiving apparatus used in a  
10 conditional access system according to another embodiment of the present invention;

11       Fig. 8 is diagram showing an example of an n-level binary tree algorithm; and

12       Fig. 9 is diagram further showing an example of an n-level binary tree algorithm.

### 13    **DESCRIPTION OF THE INVENTION**

14       The present invention provides key distribution methods and apparatus in a  
15 conditional access system. An example method of the present invention, divides legal  
16 users into various groups according to a certain condition, and distributes identical user  
17 keys to the users within the same group, therefore a plurality of users can use the identical  
18 user keys to obtain the entitlement keys.

19       In an example embodiment, the present invention provides a key distribution  
20 method in a conditional access system, assuming that the set of all user nodes which the  
21 system can accommodate is a complete set, and a subset is composed of all or part of the  
22 user nodes, comprising the steps of: decomposing said subset into at least one secondary  
23 subset; distributing a different user key to each secondary subset, each said user key being  
24 transmitted to all users in a corresponding secondary subset; encrypting an entitlement

1 key by using each said user key so as to generate a cipher text corresponding to each said  
2 secondary subset; and combining said cipher text to generate a media key control block.  
3 Wherein a binary tree algorithm is used to decompose said subset into said at least one  
4 secondary subset.

5 The present invention also provides an apparatus embodiment for key distribution  
6 in a conditional access system, assuming that the set of all user nodes which the system  
7 can accommodate is a complete set, and a subset is composed of all or part of the user  
8 nodes, said apparatus comprising: a decomposing unit for decomposing said subset into at  
9 least one secondary subset, and distributing a different user key to each secondary subset,  
10 each said user key being transmitted to all users in a corresponding secondary subset; a  
11 generating unit for encrypting an entitlement key by using each said user key so as to  
12 generate each cipher text corresponding to each said secondary subset; a combining unit  
13 for combining said cipher text to generate a media key control block; and an entitlement  
14 control means for controlling the corresponding operation of each said unit and outputting  
15 said media key control block.

16 The present invention also provides an embodiment of a transmitting apparatus in a  
17 conditional access system, assuming that the set of all user nodes which the system can  
18 accommodate is a complete set, and a subset is composed of all or part of the user nodes,  
19 comprising: a decomposing unit for decomposing said subset into at least one secondary  
20 subset, and distributing a different user key to each secondary subset, each said user key  
21 being transmitted to all users in a corresponding secondary subset; a generating unit for  
22 encrypting an entitlement key using each said user key so as to generate a cipher text  
23 corresponding to each said secondary subset; a combining unit for combining said cipher  
24 text to generate a media key control block; a program scrambling unit for scrambling a  
25 video program by using said entitlement key; a transmitting unit for transmitting the  
26 scrambled video program and said media key control block to a receiving apparatus; and

1 an entitlement control means for controlling the corresponding operation of each of said  
2 units and outputting said media key control block to said transmitting unit.

3 The present invention also provides an embodiment for a receiving apparatus in a  
4 conditional access system, assuming that the set of all user nodes which the system can  
5 accommodate is a complete set, and a subset is composed of all or part of the user nodes,  
6 comprising: a receiving unit for receiving the scrambled video program and a media key  
7 control block transmitted from a transmitting apparatus; a resolving unit for decrypting a  
8 cipher text by using a user key so as to obtain an entitlement key, wherein said cipher text  
9 is obtained by identifying said media key block using the user key corresponding to the  
10 secondary subset to which said receiving apparatus belongs, and said secondary subset is  
11 obtained by decomposing said subset; and a program descrambling unit for decrypting  
12 said scrambled video program by using said entitlement key.

13 Since the present invention uses a binary tree classification method, a plurality of  
14 users in the same group can share a message to obtain entitlement keys, thereby reducing  
15 the amount of the information (length) of the EMM (MKCB in the present invention) for  
16 distributing the entitlement keys, and making the length of MKCB greatly less than that  
17 of the conventional linear management, especially in the case of user leaving from the  
18 system. So the present invention can save the large amount of bandwidth occupied when  
19 broadcasting EMM, i.e. saving a lot of network resources.

20 The present invention will be described in detail hereinafter in connection with the  
21 drawings and specific embodiments.

#### 22 Definition and feature of media key control block (MKCB)

23 The MKCB method of the present invention can be used to implement a EMM  
24 layer in a CA system.

1        Let the complete set I be the set of all users or devices (nodes) (i.e. all the users that  
2 the system can accommodate), and let S be a subset of I, representing registered legal  
3 users (e.g. paid users). In the present invention, a binary algorithm (which will be  
4 described in more detail below) is used to decompose subset S into a plurality of  
5 secondary subsets D1, D2. ..., Dn, and different user keys are assigned to the secondary  
6 subsets, and the users in each secondary subset have the same user keys. Then entitlement  
7 keys are encrypted into cipher texts E1, E2, ..., En by using various different user keys,  
8 the above cipher texts are combined to generate the media key control block of the  
9 present invention, MKCB(S, MK), the entitlement key (MK) in the MKCB(S, MK) can  
10 be used to encrypt the control word (CW) of a specific video program into ECM, and the  
11 CW is used to encrypt the above video program. Certainly, the above video program can  
12 also be encrypted by using directly the entitlement key (MK), in this case no control word  
13 is needed to encrypt the video program.

14        In the implementing method of MKCB, a ternary tree algorithm or a multiple tree  
15 algorithm can also be used to decompose subset S into a plurality of subsets. In the  
16 embodiment of the present invention, MKCB is implemented by using a binary tree  
17 algorithm, which will be described in detail below. When this binary tree algorithm is  
18 used to implement MKCB, because of changing traditional linear management for users  
19 into grouping management, the length of MCKB is not linear to the increase of the  
20 number of users in subset S and is very short in most cases.

#### 21        Apparatus and method for generating and distributing MKCB in a CA system

22        Figure 2 is a structural diagram of a key distribution apparatus 1 in a CA system  
23 according to the present invention.

24        As shown in Figure 2, the key distribution apparatus 1 used in a CA system in the  
25 present invention comprises: a decomposing unit 102 for decomposing the subset S into

1 at least a secondary subset  $D_i$ , and assigning different user keys  $K_i$  to each secondary  
2 subset, each user key  $K_i$  is transmitted to all the users in the secondary subset  
3 corresponding to the key (e.g., by way of a master card or other means); a generating unit  
4 104 for using each user key  $K_i$  to encrypt an entitlement key (MK) so as to generate each  
5 cipher text  $E_i$  corresponding to each secondary subset; a combining unit 106 for  
6 combining each cipher text  $E_i$  to generate a media key control block MKCB; and an  
7 entitlement control device 108 for controlling the corresponding operations of the  
8 above-mentioned units and outputting said media key control block. In addition, the  
9 entitlement control device 108 may also be used to manage user information, that is, to  
10 incorporate other user management information to be transmitted to users by an operator  
11 into the media key control block (MKCB).

12 The decomposing unit 102 uses a binary tree algorithm to decompose the subset S  
13 into a plurality of secondary subsets  $D_1, D_2, \dots, D_n$ , assigns different user keys to the  
14 plurality of secondary subsets  $D_1, D_2, \dots, D_n$ , encrypts said entitlement keys by using  
15 said user keys, and combines the cipher texts  $E_1, E_2, \dots, E_n$  obtained after encrypting  
16 entitlement keys, so as to generate the media key control block of present invention,  
17  $MKCB = \{E_1, E_2, \dots, E_n\}$ .

18 The above-mentioned key distribution apparatus of the present invention can  
19 transmit the media key control block MKCB in an unidirectional channel of the CA  
20 system of video/audio broadcast, and conduct unidirectional management to each user  
21 node. In addition, in the present invention, the plurality of secondary subsets  $D_1, D_2, \dots,$   
22  $D_n$  divided through a binary tree method by decomposing unit 102 can be maintained  
23 unchanged in the future usage after being determined when the system is established  
24 (except that the system needs to be changed, e.g. the capacity of the system is changed).  
25 Thus the heavy workload brought about by conducting dynamic management is avoided,



1 and the large amount of network bandwidth needed for conducting dynamic interactive  
2 management is also saved.

3 The MKCB can be broadcast to legal users before a specific program begins, and  
4 can also be broadcast together with the program. Due to its short length, its broadcast  
5 time and manner are very flexible.

6 Figure 3 is a work flowchart of the key distribution apparatus used in a CA system  
7 in Figure 2. As shown in Figure 3, at step S10, decomposing unit 102 decomposes a  
8 subset S in the complete set I into at least one secondary subset D1, D2, ..., Dn, and  
9 assigns different user keys Ki's to the above-mentioned secondary subsets (step S20),  
10 said each user key Ki is transmitted to all the users in the secondary subset corresponding  
11 to the user key. At step S30, generating unit 104 uses each said user key Ki to encrypt an  
12 entitlement key (MK) so as to generate each cipher text Ei (i.e. E1, E2, ..., En)  
13 corresponding to each secondary subset. At step S40, combining unit 106 combines said  
14 cipher text Ei to generate a media key control block MKCB={E1,E2,...,En}. In addition,  
15 at step S50, the generated media key control block (MKCB) is output through the  
16 entitlement control device 108 and is transmitted to all the users within subset S via a  
17 transmitting unit.

18 The video program transmitting apparatus using key distribution apparatus in Figure  
19 2 according to the present invention will be described in detail hereinafter, in which the  
20 same parts as that of the key distribution apparatus in Figure 2 are indicated with the  
21 same reference numerals, and the description for their same functions will be omitted for  
22 simplicity.

23 Figure 4 is a structural diagram of the video program transmitting apparatus 100  
24 used in a CA system according to an embodiment of the present invention.

25 As shown in Figure 4, the video program transmitting apparatus 100 comprises: a  
26 decomposing unit 102, a generating unit 104, a combining unit 106 and an entitlement

1 control unit 108, all of which have the same functions and structures as those of the same  
2 units shown in Figure 2. In addition, the video transmitting apparatus 100 further  
3 comprises: a program scrambling unit 110 for using entitlement key (MK) to scramble a  
4 source code stream (video program) from a video program generating device (not shown);  
5 a transmitting unit 112 for transmitting the scrambled video program and the media key  
6 control block to a receiving apparatus 200 (as shown in Figure 5).

7 The video program receiving apparatus 200 according to the present invention will  
8 be described in detail with respect to the drawings. Figure 5 is a structural diagram of the  
9 video program receiving apparatus 200 in a CA system according to an embodiment of  
10 the present invention. As shown in Figure 5, the video program receiving apparatus 200  
11 comprises: a receiving unit 204 for receiving the scrambled video program and the media  
12 key control block transmitted from the transmitting apparatus 100; a resolving unit 202  
13 for identifying said media key block MKCB to obtain the cipher text  $E_i$  (i.e. one of  $E_1$ ,  
14  $E_2$ , ...,  $E_n$ ) corresponding to the secondary subset  $D_i$  to which the receiving apparatus  
15 200 belongs, and using the user key  $K_i$  corresponding to the secondary subset  $D_i$  to  
16 decrypt the cipher text  $E_i$  so as to obtain an entitlement key (MK); a program  
17 descrambling unit 206 for decrypting the scrambled video program by using the obtained  
18 entitlement key (MK), and transmitting the descrambled video program to the receiving  
19 or playing device such as TV and etc. for reception or playback.

20 Of course, the resolving unit 202 may also be used to manage user information,  
21 obtain the information transmitted to the user by an operator after decrypting MKCB, and  
22 send it to other devices (not shown) for archiving or other processing.

23 Herein, for any given legal user subset  $S$  in the complete set  $I$  including all the user  
24 nodes which can be accommodated, the entitlement key (MK) in  $MKCB(S, MK)$  can and  
25 only can be decrypted by the users in subset  $S$ , and the MK is used to encrypt MPEG

1 video stream. Thus the users in subset S (legal paid users), after decrypting MKCB to  
2 obtain the MK, can further obtain the descrambled MPEG video stream.

3 Another embodiment of the video transmitting apparatus according to the present  
4 invention will be described in connection with Figure 6, in which the same units as those  
5 of video transmitting units in Figure 4 are the same reference numerals, and the  
6 description of the same functions will be omitted for simplicity. Figure 6 is a structural  
7 diagram of the video program transmitting apparatus 300 used in a CA system according  
8 to another embodiment of the present invention. As shown in Figure 6, the video  
9 transmitting apparatus 300 comprises: a decomposing unit 102, a generating unit 104, a  
10 combining unit 106, an entitlement control unit 108 and a transmitting unit 112, all of  
11 which have the same functions and structures as those of the same units as shown in  
12 Figure 4 and will not be described further. In addition, the video transmitting apparatus  
13 300 further comprises: a control word encrypting unit 114 for, under the control of the  
14 entitlement control unit 108, using the entitlement key (MK) to encrypt a control word  
15 (CW) into the above-mentioned cipher text Ei.

16 It also differs from the video transmitting apparatus 100 in that: the program  
17 scrambling unit 110 of the video transmitting apparatus 300 in the present embodiment is  
18 used to use the control word (CW) to encrypt a source code stream (video program) from  
19 a video program generating device (not shown) so as to generate the scrambled video  
20 programs. Herein, the cipher text Ei is the entitlement control message (ECM) in a CA  
21 system. Another embodiment of the video receiving apparatus in the present invention  
22 will be described hereinafter in connection with Figure 7, in which the same units as  
23 those in the video receiving apparatus 200 in Figure 5 are indicated with the same  
24 reference numerals, and the description of their same functions will be omitted for  
25 simplicity.

1        Figure 7 is a structural diagram of the video program receiving apparatus 400 used  
2        in a CA system according to another embodiment of the present invention. As shown in  
3        Figure 7, the video program receiving apparatus 400 comprises: a receiving unit 204, and  
4        a resolving unit 202, both of which have the same functions and structures as those of the  
5        same units as shown in Figure 5 and will not be described further. In addition, the video  
6        transmitting apparatus 300 further comprises: a control word decrypting unit 208 for  
7        using the decrypted entitlement key (MK) transmitted from the resolving unit 202 to  
8        decrypt the cipher text  $E_i$  (one of  $E_1, E_2, \dots, E_n$  belonging to the user, i.e. ECM)  
9        corresponding to the receiving apparatus 400 so as to obtain said control word (CW).

10       It also differs from the video receiving apparatus 200 in that: the program  
11       descrambling unit 206 of the video receiving apparatus 400 in the present embodiment  
12       uses said control word (CW) to decrypt the scrambled video program and transmits the  
13       descrambled video program to the receiving or playing device such as TV and etc. for  
14       reception or playback.

15       Herein, for any given legal user subset  $S$  in the complete set  $I$  containing all user  
16       nodes which can be accommodated, the entitlement key (MK) in MKCB( $S$ , MK) can and  
17       only can be decrypted by the users in subset  $S$ , and the MK is used to encrypt CW  
18       (control word) into the ECM (entitlement control message) while CW is used to scramble  
19       the MPEG video stream. Thus the users in subset  $S$  (legal paid users), after decrypting  
20       MKCB to obtain the MK, can obtain CW by using MK to decrypt ECM, and thereby  
21       further obtain the descrambled MPEG video stream.

#### 22       Examples of generating MKCB by using the binary tree algorithm

23       The examples of generating MKCB by using  $n$ -level binary tree algorithm will be  
24       described hereinafter in connection with Figures 8 and 9.

1        Figure 8 shows a full binary tree with  $n$  levels. It is clear that there are  $2^n - 1$  nodes  
2 within the tree, including  $2^{n-1}$  leaf nodes and one root node. A leaf node refers to a node  
3 without descendant nodes, i.e. the “lowermost” layer of the tree. In addition, each node  
4 can be treated as the root node of a certain sub-tree, which consists of the node itself and  
5 all its descendant nodes and is the sub-tree corresponding to the node. Let us associate  
6 every node with its corresponding sub-tree. For example, the root node is associated with  
7 the whole tree, and a leaf node is associated with a sub-tree that contains only the node  
8 itself. In Figure 8, node 1 represents the sub-tree associated with node  $a$ , and node 2  
9 represents the sub-tree associated with node  $v$ .

10        As shown in Figure 8, sub-tree difference  $D'(u, v)$  is the set of sub-tree difference  
11 nodes. A sub-tree difference can be identified by two nodes  $u$  and  $v$ , where  $v$  is a  
12 descendant node of  $u$ . If  $T'(u)$  represents a sub-tree corresponding to node  $u$ , and  $T'(v)$   
13 represents a sub-tree corresponding to node  $v$ , then the sub-tree difference  $D'(u, v)$   
14 consists of all nodes that belong to the sub-tree of  $u$  but not belong to the sub-tree  $v$ . It  
15 can be treated that the sub-tree associated with node  $u$  minus the sub-tree associated with  
16 node  $v$ , i.e.  $D'(u, v) = T'(u) - T'(v)$ . In Figure 8, a node 3 represents the sub-tree identified  
17 by sub-tree difference  $D'(u, v)$ .

18        In the algorithm of the present invention, it is assumed that the complete set  $I$  is the  
19 set of all leaf nodes, i.e. all the nodes in the part surrounded by a dash and dot line in  
20 Figure 8. That means, each leaf node represents a user, so the maximum number of users  
21 in this algorithm is  $2^{n-1}$ .

22        As shown in Figure 9, given  $S$  as a subset of  $I$ , it can be proved that there exist  
23 some subset differences whose union is subset  $S$ . That is to say,  $S$  can be split to some  
24 subset differences  $D(u, v)$ . Here, said subset differences  $D(u, v)$  refers to the set of all the  
25 leaf nodes in sub-tree difference  $D'(u, v)$ . Figure 9 shows a split of subset  $S$ , where the  
26 subset  $S$  consists of all the marked leaf nodes. As shown in Figure 9, the subset  $S$  is a

1 union of each subset difference  $D(u_1, v_1)$ ,  $D(u_2, v_2)$ ,  $D(u_3, v_3)$  and  $D(u_4, v_4)$ , where subset  
2 differences  $D(u_1, v_1)=T(u_1)-T(v_1)$ ,  $D(u_2, v_2)=T(u_2)-T(v_2)$ ,  $D(u_3, v_3)=T(u_3)-T(v_3)$ , and  
3  $D(u_4, v_4)=T(u_4)-T(v_4)$ . Here,  $T(u)$  represents a set of all leaf nodes in  $T'(u)$ ,  $T(v)$  represents  
4 a set of all leaf nodes in  $T'(v)$ .

5 Each subset difference  $D(u, v)$  has a cipher value  $K(u, v)$  (user key) assigned  
6 thereto, this cipher value  $K(u, v)$  must be distributed to all the users in the  $D(u, v)$ . To any  
7 user not belonging to the  $D(u, v)$ , the cipher value  $K(u, v)$  must be unknown and  
8 incomputable.

9 Let MKB be the union of  $E(K(u, v), MK)$ , where  $E(K(u, v), MK)$  represents the  
10 cipher-text obtained by using  $K(u, v)$  in each subset difference  $D(u, v)$  as a user key to  
11 encrypt an entitlement key. Thus, this MKCB can only be decrypted by users with one of  
12 these  $K(u, v)$ s, which means that the user who can decrypt the MKCB belongs to one of  
13 these subset differences  $D(u, v)$ . Since the union of these subset differences is subset  $S$ ,  
14 only the users within  $S$  can obtain MK.

15 The constitution of media key control block MKCB is described by examples  
16 hereinafter. As shown in Figure 9, assuming subset  $S$  of legal users can be split into 4  
17 subset differences  $D(u_1, v_1)$ ,  $D(u_2, v_2)$ ,  $D(u_3, v_3)$  and  $D(u_4, v_4)$ , then respectively, cipher value  
18  $K_1$  should be distributed to the users in subset difference  $D(u_1, v_1)$ , cipher value  $K_2$  should  
19 be distributed to the users in subset difference  $D(u_2, v_2)$ , cipher value  $K_3$  should be  
20 distributed to the user in subset difference  $D(u_3, v_3)$ , and cipher value  $K_4$  should be  
21 distributed to the users in subset difference  $D(u_4, v_4)$ . Furthermore, cipher-text  $E_1$  can be  
22 obtained by using the cipher value  $K_1$  to encrypt the entitlement key (MK), cipher-text  $E_2$   
23 can be obtained by using the cipher value  $K_2$  to encrypt the entitlement key (MK),  
24 cipher-text  $E_3$  can be obtained by using the cipher value  $K_3$  to encrypt the entitlement key  
25 (MK), and cipher-text  $E_4$  can be obtained by using the cipher value  $K_4$  to encrypt the

1 entitlement key (MK). As can be seen from the above, media key control block  
2  $MKCB = \{E_1, E_2, E_3, E_4\}$ .  
3 Thus, when the receiving apparatus of the user receives the MKCB, the information  
4 in the MKCB is filtered and identified. The identifying method can be as follows: for  
5 example, assigning respective IDs to all the cipher-text  $E_1, E_2, E_3$  and  $E_4$  in the MKCB, or  
6 placing all the cipher-texts  $E_1, E_2, E_3$  and  $E_4$  at the positions with corresponding IDs in  
7 MKCB, detecting the cipher-text with the ID corresponding to itself in the user's  
8 apparatus in each subset difference  $D(u, v)$ , or detecting the cipher-text at the position  
9 with the ID corresponding to itself, so as to conduct decryption. That is, the users  
10 belonging to the subset difference  $D(u_1, v_1)$  detect the information containing  $E_1$  in the  
11 MKCB, and use the cipher value  $K_1$  they own to decrypt  $E_1$  so as to obtain the MK; the  
12 users belonging to the subset difference  $D(u_2, v_2)$  detect the information containing  $E_2$  in  
13 the MKCB, and use the cipher value  $K_2$  they own to decrypt  $E_2$  so as to obtain the MK;  
14 the users belonging to the subset difference  $D(u_3, v_3)$  detect the information containing  $E_3$   
15 in the MKCB, and use the cipher value  $K_3$  they own to decrypt  $E_3$  so as to obtain the MK;  
16 and the users belonging to the subset difference  $D(u_4, v_4)$  detect the information  
17 containing  $E_4$  in the MKCB, and use the cipher value  $K_4$  they own to decrypt  $E_4$  so as to  
18 obtain the MK.

19 It is known from the above that only those users in one of the subset differences  
20 constituting the legal user subset  $S$  can obtain the MK.

21 The problem as to the amount of user keys each user needs to store

22 In a conventional method, each user must store (or may deduce) the cipher value  
23  $K(u, v)$  (user key) corresponding to all subset differences  $D(u, v)$  containing himself in  
24 subset  $S$ . These user keys are distributed to legal users (e.g. paid users) by an operator for  
25 decrypting the MKCB, according to the present invention, broadcast by the operator, so

1 as to obtain the entitlement keys being necessary to watch an encrypted video program.  
2 These user keys can, for example, be stored in a smart card.

3 It can be known through a simple calculation that, for an  $n$ -level binary tree, the  
4 number of the subset differences containing a certain leaf node is  $2^{n-1}$ , which is of the  
5 same order of magnitude as  $2^n$ , i.e.  $O(2^n)$ . When  $n$  is smaller, i.e. the amount of subset  
6 differences is smaller, a direct storage method can be adopted, i.e. a method of directly  
7 storing these user keys of small amount into e.g. a smart card; and when  $n$  is quite large,  
8 the number of subset differences increases according to a geometric series and will  
9 become very large, at which time it will be very difficult to distribute or store such a large  
10 amount of subset differences.

11 In the present invention, when  $n$  is quite large or the storage space is limited, the  
12 following method can be adopted to compress the key space:

13 When assigning  $K(u, v)$  to each subset difference  $D(u, v)$ , the following algorithm  
14 is adopted:

- 15 (1) if  $u$  is the parent node of  $v$ , then assign directly a random key (or adopt another  
16 method to deduce);  
17 (2) if  $u$  is not the parent node of  $v$  and the parent node of  $v$  is  $v_f$ , then in the case of  
18 given  $K(u, v_f)$ ,  $K(u, v)$  can be computed from  $K(u, v_f)$  by using an unidirectional  
19 major function.

20 The so-called unidirectional major function is an usual concept in encryption  
21 algorithms, which is as follows: another value can be simply computed from a value by  
22 using a certain method, but it is very difficult to deduce back from the computed value to  
23 the original value, that is, in a function  $y=f(x)$ , it is easy to compute  $y$  from  $x$  because of  
24 the known function relation, but it is very difficult to compute  $x$  from  $y$  because of not  
25 knowing its inverse function relation.



1        It is easy to see that this is a convergent recursive algorithm. After the present  
2 invention uses such an algorithm, each user need not store  $K(u, v)$  corresponding to all  
3 the subset differences  $D(u, v)$  containing himself, because most  $K(u, v)$  can be deduced  
4 from other  $K(u, v)$ s. It is easy to check that at this time each user only needs to store  
5  $n(n-1)/2$  keys which is of the same order of magnitude as  $n^2/2$ , i.e.  $O(n^2/2)$ , not of the  
6 same order of magnitude as  $2^n$ . So the amount of user keys to be stored is greatly  
7 reduced, while it can be seen that the safety of the whole system is not reduced because of  
8 the feature of the unidirectional major function.

9        Division of subset differences in subset S

10       When a CA system is established, the capacity of users therein (i.e. the amount of  
11 all the leaf nodes) is definite. When a user occupies a node because of joining the  
12 system, the position of the user is fixed for the system. All the corresponding subset  
13 differences of the position is also fixed with respect to the whole system. The division of  
14 all subset differences  $D(u, v)$  and their corresponding cipher values  $K(u, v)$  are stored in a  
15 database of the system (not shown) through corresponding programs.

16       In addition, the operator distributes the cipher value  $K(u, v)$  (user key)  
17 corresponding to all subset differences  $D(u, v)$  containing the node to the user at the  
18 position of the node, e.g. in a form of smart card as described above. Thus, when a certain  
19 user joins or leaves subset S, the system automatically computes all subset differences  
20  $D(u, v)$  corresponding to the situation in subset S after the user joins or leaves, and  
21 broadcasts to each user the media key control block (MKCB) formed by using cipher  
22 values  $K(u, v)$  in the subset differences  $D(u, v)$  to encrypt the entitlement keys (MKs).  
23 Because the user at each position has  $K(u, v)$  corresponding to each subset difference  
24  $D(u, v)$  after the change, he can decrypt the media key control block (MKCB) without  
25 being effected to obtain the entitlement key MK.

1        Using MKCB method to add in and remove users

2        In a system with unfilled capacity, there are spare nodes that are not occupied by  
3        users. If a new user joins the system, he/she will occupy one of the nodes and obtain a set  
4        of keys corresponding to the node, i.e. the user keys as described above. This procedure  
5        can be implemented by distributing practically a smart card or by broadcasting or by other  
6        means. if using a smart card, a user can insert the smart card into a suitable receiving  
7        apparatus (such as a set-top box) and wait to receive the MKCB broadcast transmitted  
8        from the operator. At this time, the subset S of legal users should be changed to  $S'=S+A$ ,  
9        where A represents the node of the new user.

10       When a new user joins, the division of subset differences changes. Meantime, a new  
11       MKCB should be generated for a new  $S'$  and  $MK'$ , where  $MK'$  can be a new entitlement  
12       key or can be the original entitlement key (MK). When the original MKCB is replaced by  
13       the new MKCB, the new user joins the system successfully.

14       In the case that a plurality of users join the system, the process is the same as the  
15       above process. The only difference is  $S'=S+A'$ , where  $A'$  represents a set of all nodes of  
16       the new users.

17       If a user wants to quit the system, or his/her apparatus has a secret divulged or is  
18       intruded illegally, the user should be removed from the system. In this case, the set S of  
19       all legal users should become  $S'=S-A$ , where A represents the node of the user.

20       When a user quits the system, the division of the subset differences also changes,  
21       and a new MKCB should be generated for the new  $S'$  and  $MK'$ , where  $MK'$  should be a  
22       new entitlement key and can not be the original entitlement key. When the new MKCB  
23       replaces the original MKCB, the user is removed successfully from the system.

1 In the case that a plurality of users is removed from the system, the process is the  
2 same as the above one. The only difference is  $S'=S-A'$ , where  $A'$  represents a set of all  
3 nodes of the removed users.

4 For the users who quit the system, the system can adopt the following methods to  
5 cease their right of watching the encrypted video programs: (1) send messages for closing  
6 the MKCB receiving function of the users, and change the entitlement key (MK) in the  
7 set  $S$  of current legal users; (2) stop transmitting to the users media key control block  
8 (MKCB) containing the entitlement key (MK).

9 For new users who just join the system, because they already have user keys  $K(u, v)$   
10 corresponding to every subset difference  $D(u, v)$  at the position of the node, they can,  
11 after receiving the media key control block (MKCB), decrypt it so as to obtain the  
12 entitlement key (MK).

13 In addition, a user's joining or being removed (quitting) from the system takes as a  
14 triggering condition whether the user performs the registration procedure (such as  
15 whether he has paid). The system can detect this case, automatically compute the changed  
16 subset difference  $D(u, v)$ , and perform the transmission or the cease of the transmission of  
17 media key control block (MKCB).

#### 18 Analysis result of the sub-tree algorithm

19 If set  $(I-S)$  has  $r$  nodes, i.e. the number of free leaf nodes as shown in Figure 4 is  $r$ ,  
20 it can be proved through a mathematical induction that: the subset  $S$  is a union set of less  
21 than  $(2r-1)$  subset differences. It can also be computed through a probability statistics  
22 formula that, on average, the expectation number of subset differences increases by  $2\ln 2$ ,  
23 i.e. about 1.38, when  $r$  increases by 1. Therefore the average value of the number of the  
24 subset differences is about  $2r\ln 2$ , i.e.  $1.38r$ . If  $r$  is large, the expectation value will  
25 decrease. It can be seen from the example in Figure 5 that  $r=11$ , and the number of the

1 subset differences that subset S can be divided into is at most 21, complying with the  
2 above formula  $2^{11}-1=21$ .

3 If the subset S has m nodes, then the subset differences needed by the subset S can  
4 not be more than m, because each subset difference can cover at least one node. In  
5 general, the estimation can be greatly reduced, since each subset difference can cover a lot  
6 of nodes. It indicates that the present method can not be worse than encrypting and  
7 transmitting information node by node even in the worst case.

8 In addition, in the method of the present invention, the coverage of subset S is  
9 accomplished by using subset differences  $D(u, v)$ , in which manner the number of subset  
10 differences in most cases will be relatively small. But the present invention is not limited  
11 to this, and other methods can be adopted, such as using subset  $T(u)$  directly for coverage.

12 An advantage of directly using subset for coverage is that the number of user keys  
13 which a user needs to store is relatively small, and each user needs only to store n keys.  
14 But its defect is also very obvious, for example, when only one user needs to be removed,  
15 the whole binary tree will be divided into n-1 subsets, the cost of which is that this  
16 number is greatly large with respect to subset difference coverage (in this case, only one  
17 subset difference is necessary).

18 The present invention can also consider general tree structures which are not only  
19 the binary tree but also a ternary tree or a multiple tree. In general tree structures, the  
20 method of direct subset coverage as well as subset difference coverage can also be  
21 considered.

22 In addition, the present invention is not limited to the method of using a sub-tree,  
23 other methods can also be adopted to implement the hierarchical grouping of users in the  
24 present invention and make the condition of the grouping practically changed along with  
25 the increase or reduction of efficient users, so as to reduce the length of the message  
26 contained in MKCB.

1        Advantages of MKCB method

2        Compared with the conventional CA system, MKCB method has two main  
3 advantages: Firstly, according to the sub-tree algorithm, the length of MKCB is made  
4 greatly shorter than that of conventional EMM. The length of MKCB depends on the  
5 number of subset differences in the division of subset S. In the case that a few users are  
6 removed from the system or the tree structure of subset S is very “clean” (i.e. the node  
7 positions in the tree structure is relatively concentrated and tidy)”, the length of MKCB  
8 becomes greatly shorter than that of conventional linear EMM, while the length of the  
9 conventional linear EMM is linearly proportional to the number of the users. The shorter  
10 MKCB can be realized, the more bandwidth can be saved.

11        Secondly, in the conventional CA system, the removal of some users will make the  
12 other users in the same group changed to those of a new group(s), wherein it is extremely  
13 important to ensure the other users to correctly change their group(s). But in order to  
14 achieve the object, it takes a lot of bandwidth to encrypt the information to be  
15 transmitted. In the present invention, because MKCB is very short, its broadcast and  
16 distribution time and form is very flexible, and when some users are removed from the  
17 system, the other users need not to be changed to a new group(s), thereby bringing about  
18 very little effect to the other users.

19        While the embodiment of the present invention has been described in detail, it will  
20 be understood by those skilled in the art that various changes may be made therein  
21 without departing from the spirit and scope of the invention as defined by the appended  
22 claims.

23        Variations described for the present invention can be realized in any combination  
24 desirable for each particular application. Thus particular limitations, and/or embodiment  
25 enhancements described herein, which may have particular advantages to a particular

1 application need not be used for all applications. Also, not all limitations need be  
2 implemented in methods, systems and/or apparatus including one or more concepts of the  
3 present invention.

4 The present invention can be realized in hardware, software, or a combination of  
5 hardware and software. A visualization tool according to the present invention can be  
6 realized in a centralized fashion in one computer system, or in a distributed fashion where  
7 different elements are spread across several interconnected computer systems. Any kind  
8 of computer system - or other apparatus adapted for carrying out the methods and/or  
9 functions described herein - is suitable. A typical combination of hardware and software  
10 could be a general purpose computer system with a computer program that, when being  
11 loaded and executed, controls the computer system such that it carries out the methods  
12 described herein. The present invention can also be embedded in a computer program  
13 product, which comprises all the features enabling the implementation of the methods  
14 described herein, and which - when loaded in a computer system - is able to carry out  
15 these methods.

16 Computer program means or computer program in the present context include any  
17 expression, in any language, code or notation, of a set of instructions intended to cause a  
18 system having an information processing capability to perform a particular function  
19 either directly or after conversion to another language, code or notation, and/or  
20 reproduction in a different material form.

21 Thus the invention includes an article of manufacture which comprises a computer  
22 usable medium having computer readable program code means embodied therein for  
23 causing a function described above. The computer readable program code means in the  
24 article of manufacture comprises computer readable program code means for causing a  
25 computer to effect the steps of a method of this invention. Similarly, the present  
26 invention may be implemented as a computer program product comprising a computer

1 usable medium having computer readable program code means embodied therein for  
2 causing a a function described above. The computer readable program code means in the  
3 computer program product comprising computer readable program code means for  
4 causing a computer to effect one or more functions of this invention. Furthermore, the  
5 present invention may be implemented as a program storage device readable by machine,  
6 tangibly embodying a program of instructions executable by the machine to perform  
7 method steps for causing one or more functions of this invention.

8       It is noted that the foregoing has outlined some of the more pertinent objects and  
9 embodiments of the present invention. This invention may be used for many  
10 applications. Thus, although the description is made for particular arrangements and  
11 methods, the intent and concept of the invention is suitable and applicable to other  
12 arrangements and applications. It will be clear to those skilled in the art that  
13 modifications to the disclosed embodiments can be effected without departing from the  
14 spirit and scope of the invention. The described embodiments ought to be construed to  
15 be merely illustrative of some of the more prominent features and applications of the  
16 invention. Other beneficial results can be realized by applying the disclosed invention in  
17 a different manner or modifying the invention in ways known to those familiar with the  
18 art.